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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

JOHN V. BIVONA; SADDLE RIVER
ADVISORS, LLC; SRA MANAGEMENT
ASSOCIATES, LLC; FRANK GREGORY
MAZZOLA,

Defendants, and

SRA I LLC; SRA II LLC; SRA III LLC;
FELIX INVESTMENTS, LLC; MICHELE
J. MAZZOLA; ANNE BIVONA; CLEAR
SAILING GROUP IV LLC; CLEAR
SAILING GROUP V LLC,

Relief Defendants.

Case No. 3:16-cv-01386-EMC (JCS)

**STIPULATION AND ~~PROPOSED~~ ORDER
TO CONTINUE CASE MANAGEMENT
CONFERENCE**

Date: March 9, 2017
Time: 10:30 a.m.
Courtroom: 5 (Seventeenth Floor)
Judge: Edward M. Chen

STIPULATION TO CONTINUE CASE MANAGEMENT CONFERENCE

Counsel for plaintiff Securities and Exchange Commission (“Commission”), for defendants John V. Bivona, Frank G. Mazzola and Saddle River Advisors, LLC (“Saddle River”) and for relief defendants Anne Bivona and Michele J. Mazzola, and for the receivership entities defendant SRA Management, LLC and relief defendants SRA I LLC, SRA II LLC, SRA III LLC, Clear Sailing Group IV LLC and Clear Sailing Group V LLC, as well as third party affiliated entities Felix Multi-Opportunity Fund I LLC, Felix Multi-Opportunity Fund II LLC, Felix Management Associates LLC, NYPA Fund I LLC, NYPA Fund II LLC and NYPA Management Associates LLC, hereby submit this Stipulation to continue, until after April 25, 2017, the case management conference that is now scheduled for Thursday, March 9, 2017.

The March 9th case management conference was scheduled for one month after the anticipated resumption on February 9, 2017 of the mandatory settlement conference before Chief Magistrate Judge Joseph Spero. Chief Magistrate Judge Spero recently agreed to continue the mandatory settlement conference until Tuesday, April 25, 2017. Because the settlement conference is being continued, the parties do not believe that a case management conference would be productive on March 9, 2017.

The parties therefore stipulate to continuing the case management conference until after the April 25th settlement conference, and are available for the continued case management conference on the mornings of Thursday, May 4, May 15, and June 1, 2017. The parties also agree that counsel for Frank Gregory Mazzola and Michele J. Mazzola may attend the case management conference by telephone.

Dated: February 22, 2017

SECURITIES AND EXCHANGE COMMISSION

John S. Yun

John S. Yun

Attorneys for Plaintiff

SECURITIES AND EXCHANGE COMMISSION

Jahan P. Raissi (by email authorization)

Jahan P. Raissi

SHARTSIS FRIESE LLP

Attorneys for Defendants JOHN V. BIVONA and SADDLE
RIVER ADVISORS, LLC and Relief Defendant ANNE
BIVONA

John W. Cotton (by email authorization)

John W. Cotton

GARTENBERG, GELFAND & HAYTON LLP

Attorney for the Receiver

SHERWOOD PARTNERS, INC. for Receivership Entities

SRA MANAGEMENT, LLC, SRA I LLC,

SRA II LLC, SRA III LLC, CLEAR SAILING GROUP IV

LLC, CLEAR SAILING GROUP V LLC, FELIX

MANAGEMENT ASSOCIATES LLC, FELIX MULTI-

OPPORTUNITY FUND I LLC, FELIX MULTI-

OPPORTUNITY FUND II LLC, NYPA MANAGEMENT

ASSOCIATES LLC, NYPA FUND I LLC, and NYPA FUND

II LLC

/s/ Richard Babnick (by email authorization)

Richard J. Babnick Jr., Esq.

SICHENZIA ROSS FRIEDMAN FERENCE LLP

Attorneys for Defendants FRANK G. MAZZOLA and Relief
Defendant MICHELE J. MAZZOLA

ORDER CONTINUING CASE MANAGEMENT CONFERENCE

Based upon the parties Stipulation, and good cause appearing, the case management conference now calendared for Thursday, March 9, 2017, is hereby continued to Thursday, May 5, 2017 at 10:30 a.m. The parties shall file an updated joint case management statement at least seven calendar days before the case management conference. Counsel for Frank Mazzola and Michele Mazzola may appear at the case management conference by telephone.

SO ORDERED.

Dated: February 27, 2017

